GUIDE TO CHANGES
EFFECTIVE OCTOBER 1, 2006

Transporting
Infectious Substances
Safely

FEDERAL REGISTER
Hazardous Materials: Infectious Substances; Harmonization With the United Nations Recommendations
# Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction</td>
<td>02</td>
</tr>
<tr>
<td>Why Are Infectious Substances Regulated in Transportation?</td>
<td>03</td>
</tr>
<tr>
<td>New Transportation Requirements for Infectious Substances</td>
<td>03</td>
</tr>
<tr>
<td>Changes to HMR; 49 CFR Parts 171, 172, 173, and 175</td>
<td>03</td>
</tr>
<tr>
<td>New Classification System</td>
<td>04</td>
</tr>
<tr>
<td>Category A and Category B</td>
<td>06</td>
</tr>
<tr>
<td>New and Revised Definitions</td>
<td>08</td>
</tr>
<tr>
<td>Classification Process</td>
<td>10</td>
</tr>
<tr>
<td>Examples of Category A Infectious Substances:</td>
<td></td>
</tr>
<tr>
<td>UN2814, Infectious Substances Affecting Humans</td>
<td>12</td>
</tr>
<tr>
<td>Examples of Category A Infectious Substances:</td>
<td></td>
</tr>
<tr>
<td>UN2900, Infectious Substances Affecting Animals Only</td>
<td>14</td>
</tr>
</tbody>
</table>
Classification Scenarios .......................... 16
General Information ............................... 18
Part 172—Hazardous Materials Table Changes .... 20
Part 172—Special Provisions, Hazardous Materials Communications Changes ................. 22
Part 172—Security Plans ............................. 24
Part 173—General Requirements for Shipments and Packagings Changes ............... 26
  Packing and Labeling of Category A ............ 28
  Packing and Marking of Category B .......... 30
Part 175—Carriage by Aircraft Changes ........... 32
Where to Learn More ............................. back cover
WHY ARE INFECTIOUS SUBSTANCES REGULATED IN TRANSPORTATION?
An infectious substance is regulated as a hazardous material under the U.S. Department of Transportation’s (DOT’s) Hazardous Materials Regulations (HMR; 49 CFR Parts 171-180). The HMR apply to any material DOT determines is capable of posing an unreasonable risk to health, safety, and property when transported in commerce. An infectious substance must conform to all applicable HMR requirements when offered for transportation or transported by air, highway, rail, or water.

NEW TRANSPORTATION REQUIREMENTS FOR INFECTIOUS SUBSTANCES
DOT’s Pipeline and Hazardous Materials Safety Administration (PHMSA) published a final rule on June 1, 2006, revising the requirements in the HMR applicable to the transportation of infectious substances. The new requirements are effective October 1, 2006.

CHANGES UNDER THE NEW RULE APPLY TO PARTS 171, 172, 173, AND 175 OF THE HMR
- New classification system
  - New and revised definitions
- Revised marking requirements
- Revised packaging requirements
- New shipping paper requirements
- New security plan requirements
- New carriage by aircraft requirements
New classification criteria and packaging requirements are now consistent with international standards and help clarify existing requirements to promote compliance. These revisions will ensure an acceptable level of safety for the transportation of infectious substances and facilitate domestic and international transportation.

The new classifications are based on criteria developed by the UN Committee of Experts working with the World Health Organization (WHO), the U.S. Centers for Disease Control and Prevention (CDC), medical professionals, microbiologists, transportation professionals, and packaging technical experts. They are consistent with the requirements contained in the 13th and 14th editions of the United Nations Recommendations for the Transport of Dangerous Goods (UN Recommendations), the 2005-2006 edition of the International Civil Aviation Organization Technical Instructions for the Safe Transport of Dangerous Goods by Air (ICAO Technical Instructions), and the International Maritime Organization (IMO) Dangerous Goods Code.

The new HMR requirements establish a two-tiered classification system for infectious substances—Category A and Category B.
Category A and Category B

DIVISION 6.2 (INFECTIOUS SUBSTANCE): A material known or reasonably expected to contain a pathogen. A pathogen is a micro-organism (including bacteria, viruses, rickettsiae, parasites, fungi) or other agent, such as a proteinaceous infectious particle (prion), that can cause disease in humans or animals.

CATEGORY A: An infectious substance in a form capable of causing permanent disability or life-threatening or fatal disease in otherwise healthy humans or animals when exposure to it occurs. An exposure occurs when an infectious substance is released outside of its protective packaging, resulting in physical contact with humans or animals. Classification must be based on the known medical history or symptoms of the source patient or animal, endemic local conditions, or professional judgment concerning the individual circumstances of the source human or animal. Category A poses a higher degree of risk than Category B.

Infectious substances, affecting animals, UN2900
Infectious substances, affecting humans, UN2814
CATEGORY B: An infectious substance not in a form generally capable of causing permanent disability or life-threatening or fatal disease in otherwise healthy humans or animals when exposure to it occurs. This includes Category B infectious substances transported for diagnostic or investigational purposes.

PROPER SHIPPING NAME AND IDENTIFICATION NUMBER:
Biological substance, Category B, UN3373

(The proper shipping names “Diagnostic Specimen” or “Clinical Specimen” may be used in place of “Biological substance, Category B” until January 1, 2007.)
New and Revised Definitions

Part 173—General Requirements for Shipments and Packagings
In addition to Category A and Category B, there are other new and revised definitions in §173.134.

BIOLOGICAL PRODUCT: A virus, therapeutic serum, toxin, antitoxin, vaccine, blood, blood component or derivative, allergenic product, or analogous product, or arsphenamine or derivative of arsphenamine (or any other trivalent arsenic compound) applicable to the prevention, treatment, or cure of a disease or condition of human beings or animals.
**CULTURE:** An infectious substance containing a pathogen that is intentionally propagated. Culture does not include a human or animal patient specimen as defined below.

**PATIENT SPECIMEN:** Human or animal materials collected directly from humans or animals and transported for research, diagnosis, investigational activities, or disease treatment or prevention. Patient specimen includes excreta, secreta, blood and its components, tissue and tissue swabs, body parts, and specimens in transport media (e.g., transwabs, culture media, and blood culture bottles).

**EXCEPTIONS:** A complete listing of materials excepted from regulation as Division 6.2 materials under the HMR is found in §173.134(b).
Classification Process
Transporting Infectious Substances Safely

Substance for classification

- Is it known NOT to contain an infectious substance?
- Are the micro-organisms present non-pathogenic to humans and animals?
- Have the pathogens present been neutralized or inactivated so they no longer pose a health risk?
- Is it an environmental sample (e.g., food or water) that is not considered to pose a significant health risk?
- Is it a biological product or a biological material (e.g., blood product, tissue, or organ) subject to U.S. Department of Health and Human Services or U.S. Department of Agriculture regulation?
- Is it a dried bloodspot or fecal occult blood?
- Is it laundry or medical equipment, or a used health care product that conforms to 29 CFR 1910.1030?
- Is it forensic material that complies with U.S., state, local, or Indian tribal government regulations?
- Is it an agricultural product or food defined under the federal Food, Drug, and Cosmetics Act?
- Is it intended for transplant/transfusion?

Does it meet the definition of a Category A substance?

- Yes
- No

Is it a patient specimen that is unlikely to cause disease in humans or animals or for which there is only a minimal likelihood that pathogens are present; or is it a patient sample transported by private or contract carrier in a motor vehicle used exclusively for these materials?

- Yes
- No

UN2814 Infectious substance, affecting humans; or UN2900 Infectious substance, affecting animals (as appropriate)

UN3373 Biological substance, Category B

Not subject to the requirements as Division 6.2 material
Examples of Category A Infectious Substances

UN2814, Infectious Substances Affecting Humans

MICRO-ORGANISM

- Bacillus anthracis (cultures only)
- Brucella abortus (cultures only)
- Brucella melitensis (cultures only)
- Brucella suis (cultures only)
- Burkholderia mallei—Pseudomonas mallei—Glanders (cultures only)
- Burkholderia pseudomallei—Pseudomonas pseudomallei (cultures only)
- Chlamydia psittaci—avian strains (cultures only)
- Clostridium botulinum (cultures only)
- Coccioidioides immitis (cultures only)
- Coxiella burnetti (cultures only)
- Crimean-Congo hemorrhagic fever virus
- Dengue virus (cultures only)
- Eastern equine encephalitis virus (cultures only)
- Escherichia coli, verotoxigenic (cultures only)
- Ebola virus
- Flexal virus
- Francisella tularensis (cultures only)
- Guanarito virus
- Hantaan virus
- Hantaviruses causing hemorrhagic fever with renal syndrome
- Hendra virus
- Herpes B virus (cultures only)
- Human immunodeficiency virus (cultures only)
- Highly pathogenic avian influenza virus (cultures only)
Substances: Affecting Humans

Japanese Encephalitis virus (cultures only)
Junin virus
Kyasanur forest disease virus
Lassa virus
Machupo virus
Marburg virus
Monkeypox virus
Mycobacterium tuberculosis (cultures only)
Nipah virus
Omsk hemorrhagic fever virus
Poliovirus (cultures only)
Rabies and other lyssaviruses (cultures only)
Rickettsia prowazekii (cultures only)
Rickettsia rickettsia (cultures only)
Rift Valley fever virus (cultures only)
Russian spring-summer encephalitis virus (cultures only)
Sabia virus
Shigella dysenteriae type I (cultures only)
Tick-borne encephalitis virus (cultures only)
Variola virus
Venezuelan equine encephalitis virus (cultures only)
Vesicular stomatitis virus (cultures only)
West Nile virus (cultures only)
Yellow fever virus (cultures only)
Yersinia pestis (cultures only)

List provided as guidance only
List is NOT all inclusive
Examples of Category A Infectious Substances Affecting Animals
UN2900, Infectious Substances Affecting Animals
Infectious Substances: Affecting Animals Only

MICRO-ORGANISM

- African swine fever virus (cultures only)
- Avian paramyxovirus Type 1—Velogenic Newcastle disease virus (cultures only)
- Classical swine fever virus (cultures only)
- Foot and mouth disease virus (cultures only)
- Lumpy skin disease virus (cultures only)
- Mycoplasma mycoides—Contagious bovine pleuropneumonia (cultures only)
- Peste des petits ruminants virus (cultures only)
- Rinderpest virus (cultures only)
- Sheep-pox virus (cultures only)
- Goatpox virus (cultures only)
- Swine vesicular disease virus (cultures only)

List provided as guidance only

List is NOT all inclusive
Classification Scenarios

SCENARIO 1
Appropriate classification:
Infectious Substance, affecting humans, UN2814

A blood sample known or reasonably suspected to contain Ebola Virus

Yes

[See bulleted questions pg. 11]

No

Does it meet the definition of a Category A Substance?

Infectious Substance, affecting humans, UN2814

SCENARIO 2
Appropriate classification:
Infectious Substance, affecting animals, UN2900

A culture of Foot and Mouth Disease

Yes

[See bulleted questions pg. 11]

No

Does it meet the definition of a Category A Substance?

Infectious Substance, affecting animals, UN2900
SCENARIO 3
Appropriate classification:
Biological Substance, Category B, UN3373
(unless transported by private or contract carrier by motor vehicle)

SCENARIO 4
Appropriate classification:
Not subject to the Hazardous Materials Regulations
General Information
Paragraph D. Exceptions for Certain Shipments
Specimen packages marked as "Exempt human specimen" or "Exempt animal specimen" according to the ICAO Technical Instructions are not regulated under the HMR. In the United States, the mark "Exempt Human/Animal Specimen" is an indication that there is no infectious substance in the package. Packages bearing these marks may be accepted by an air carrier that has made a business decision not to accept hazardous materials.

§171.15 and §171.16 Incident reporting.
You must report any release of an infectious substance (Category A or B) in any mode of transportation to the Department of Transportation. See §171.15 for telephonic and §171.16 for written report requirements.
Part 172—Hazardous Materials Table

<table>
<thead>
<tr>
<th>Symbols (1)</th>
<th>Hazardous Materials Descriptions and Proper Shipping Names (2)</th>
<th>Hazard Class or Division (3)</th>
<th>Identification Numbers (4)</th>
<th>PG (5)</th>
<th>Label Codes (6)</th>
<th>Special Provisions (§172.102) (7)</th>
<th>(8) Packaging (§173.***))</th>
<th>(9) Quantity Limitations</th>
<th>(10) Vessel Stowage</th>
</tr>
</thead>
<tbody>
<tr>
<td>G</td>
<td>Infectious substances, affecting animals only</td>
<td>6.2</td>
<td>UN2900</td>
<td>6.2</td>
<td>A82</td>
<td>134</td>
<td>199</td>
<td>None</td>
<td>50mL or 50g</td>
</tr>
<tr>
<td>G</td>
<td>Infectious substances, affecting humans</td>
<td>6.2</td>
<td>UN2814</td>
<td>6.2</td>
<td>A82</td>
<td>134</td>
<td>196</td>
<td>None</td>
<td>50mL or 50g</td>
</tr>
</tbody>
</table>

Biological substance, Category B
§172.101 Hazardous materials table.

Removed  "Diagnostic specimen"

Added  "Biological substance, Category B"

Revised  "Infectious substances, affecting animals only"

"Infectious substances, affecting humans"

"Toxins, extracted from living sources, liquid, n.o.s."

"Toxins, extracted from living sources, solid, n.o.s."
Special Provisions, Materials, Communication Changes

§172.102 Special provisions.
Removed Special provision A81 pertaining to quantity limits (see §173.199)

§172.200(b)(4) and §172.203(k) Shipping Papers.
Revised Applicability
Added Technical name "Suspected Category A" for unknown substances using UN2814 or UN2900

§172.301 Marking.
Revised No technical name on outer package

§172.102
Removed special provision A81

Subparts C and D of Part 172 revisions and additions
§172.800 Purpose and Applicability.

Revised Persons who offer for transportation or transport select agents and toxins regulated by the CDC under 42 CFR Part 73 or USDA under 9 CFR Part 121 must develop and implement security plans in accordance with Subpart I of Part 172 of the HMR.

—Security Plans

§172.800 Purpose and Applicability.

Revised Persons who offer for transportation or transport select agents and toxins regulated by the CDC under 42 CFR Part 73 or USDA under 9 CFR Part 121 must develop and implement security plans in accordance with Subpart I of Part 172 of the HMR.
Part 173—General Requirements for Shipments and Packages
Includes changes pertaining to:
§173.6 Materials of trade (MOT)
§173.24a Non-bulk packagings
§173.134 Definitions—see page 8
§173.134(b) Exceptions
§173.199 Category B infectious substances

§173.196 Category A infectious substances.
Added Category A infectious substances
Packing and Labeling of Category A Infectious Substance

PACKAGING FOR A CATEGORY A INFECTIOUS SUBSTANCE

- Must meet the test standards of §178.609 and must be marked in conformance with §178.503(f)
- Is a triple packaging consisting of
  - Primary watertight receptacle
  - Watertight secondary packaging
  - Rigid outer packaging

SAMPLE OF UN PACKAGE CERTIFICATION MARK

Additional packaging requirements can be found in §173.196(b)
Category A Infectious Substances

**Note 1:** The smallest external dimension of the outer packaging must not be less than 100 mm (3.9 inches)

**Note 2:** The primary receptacle or the secondary packaging must be capable of withstanding without leakage an internal pressure producing a pressure differential of not less than 95 kPa

**Note 3:** Follow package manufacturer’s closure instructions
**§173.199 Category B infectious substances.**

**Revised Required marking on outer package of Category B infectious substance adjacent to proper shipping name “Biological substances, Category B”**

**UN3373**

Additional packaging requirements can be found in §173.199

**Note 1:** At least one surface of the outer packaging must have a minimum dimension of 100 mm x 100 mm (3.9 inches)

**Note 2:** For liquid shipments by aircraft, the primary receptacle or the secondary packaging must be capable of withstanding without leakage an internal pressure producing a pressure differential of not less than 95 kPa
**Category B Infectious Substances**

* The proper shipping names "Biological Substance, Category B"; "Clinical Specimen"; and "Diagnostic Specimen" are authorized until December 31, 2006. From January 1, 2007 only the proper shipping name "Biological Substance, Category B" will be authorized.

† If multiple fragile primary receptacles are placed in a single secondary packaging they must be either individually wrapped or separated to prevent contact

**Note:** Follow package manufacturer’s closure instructions
Part 175—Carriage by Air
§175.630 Special requirements for Division 6.1 (poisonous) material and Division 6.2 (infectious substances) materials.

Added Paragraph (c) requirement to inspect each package, overpack, pallet, or unit load device containing a 6.2 material for signs of leakage. If evidence of leakage is found, the cargo compartment hold where the 6.2 material was stowed is required to be disinfected by any means that makes the release of the 6.2 material ineffective at transmitting disease.
For information about other Hazmat Publications

**Write:**
U.S. Department of Transportation Pipeline and Hazardous Materials Safety Administration
1200 New Jersey Avenue, SE, PHH-50
Washington, DC 20590-0001

**Fax:** (202) 366-7342  
**E-mail:** training@dot.gov  
**Phone:** (202) 366-2301

**Or visit our web site:**
http://hazmat.dot.gov  
**E-mail:** infocntr@dot.gov

---

**SafeTravel**

**HTTP://SAFETRAVEL.DOT.GOV**

PHH50-0079-0706